

Focus on

AlfaSec Advisors

Location Singapore/ Hong Kong

**Overview:** AlfaSec is a bespoke advisory firm focused on Financial Services and specialising in Fund and Securities Services. We provide expertise to support business expansion, efficiency, optimization, and M&A transactions. We also have unique insights into Israeli disruptive technology through our associate company Duotem Capital Limited. As a firm we focus on Singapore and Asian Markets. We have expertise in the following key areas:

Fund Services - we can support Fund Managers and Intermediaries who come to Singapore or wish to expand in Asia in working with them to create legal entity structures and implement operational process and governance. We can support clients in selecting outsourced parties, undertaking due diligence and change management

Investor Services -we can work with Custodians, banks, market infrastructure and new companies in Financial Services to undertake business growth and operational efficiency projects. We can support vendor management and undertake strategic evaluations.

Remediation – supporting the projects that accompany internal and external regulatory and /or audit to ensure that responses reflect the necessary actions and that different departments provide responses that meet overall corporate objectives.

Directorships – we can provide Directorships and Interim Management resources to selected Fund Management and Financial services companies that require resident executives or licence holders. We work to accelerate market access or corporate change with opportunities where we can add value through our own industry experience.

**Meet one of the Principals:** Roger spent most of his career at Deutsche Bank primarily in leading development in Investor Services. He spent 30 years with Deutsche Bank and the last 15 years he was Managing Director and member of the Global Management team for Trust and Securities Services at Deutsche Bank. He was also a board member of Deutsche Bank Asia Pacific Holding Limited. He was globally responsible for building a broad successful franchise in Investor Services providing Custody, Accounting Services, Fund Administration, Securities lending, and Registrar Services from over 40 direct markets to a broad client base including the world largest Institutional clients.

After Deutsche Bank he was Managing Director and responsible for Product Management in Asia Pacific for Worldwide Securities Services at JP Morgan. He is a member of the Chartered Institute for Securities and Investment and a Chartered member of the Institute of Financial Services.

**Did you know** that Roger lived and worked at an NGO in Phnom Pehn Cambodian for one year and trained in Singapore for the Private Pilot licence.

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